

Transforming Water. Enriching Life.®

2018 SUSTAINABILITY SUMMARY



evoqua

WATER TECHNOLOGIES



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「OVERVIEW」



OVERVIEW

CEO LETTER

Transforming Water. Enriching Life. This is our brand promise to customers, employees and shareholders and it forms the foundation of our purpose at Evoqua.

For more than a century, Evoqua and our brands have been leaders in protecting water, preserving the environment and supporting our employees who bring our purpose and vision to life every day.

Our values provide a framework for how we operate as a business and engage as individuals with our colleagues, customers, partners and communities. These shared values are intrinsically woven into the fabric of our corporate culture. They reflect Evoqua's dedication to sustainability and inspire us to continue developing solutions for the World's complex water challenges.

The same holds true for our Business Conduct Guidelines. Covering areas including ethics and compliance, anti-corruption, supply chain protocols and human rights, these corporate governance programs support our shared values and help us achieve measurable success.

Our 2018 Sustainability report provides more detail on our corporate responsibility programs and accomplishments. We invite you to engage with us and learn more about how we Transform Water and Enrich Life.

RON C. KEATING CHIEF EXECUTIVE OFFICER



WHO WE ARE

Evoqua Water Technologies is a leading provider of mission critical water treatment solutions to support our customers' full water life-cycle needs. Evoqua Water Technologies has worked to protect water, the environment and its employees for over 100 years, earning a reputation for quality, safety and reliability around the world.

Evoqua's unparalleled portfolio of proven advanced technologies, mobile and emergency solutions and integrated services enable industries to optimize performance and minimize discharge; assist municipalities to achieve water quality and quantity targets; and help recreational facilities provide safe, healthy environments.



CODE OF ETHICS AND BUSINESS CONDUCT

At Evoqua, we exercise our values each day in our interactions with each other, our customers and our third-party partners. Every time we perform a task in our daily work, it's another chance to do the right thing.

At the end of the day, our business decisions are not just about profits; we impact people, communities and the environment. Working with this purpose and making prudent decisions is what it means for each of us to work with integrity.

Protecting the environment and conserving natural resources is of the highest priority for our Company. Sustainable practices form the basis of our business decisions and dealings with our stakeholders.

WHAT IT MEANS PURPOSE, MISSION, VISION & VALUES



Evoqua's purpose, mission, vision and values guide everything we do. It reinforces our unwavering commitment to customer service.

OUR PURPOSE

Transforming water. Enriching life.

OUR MISSION

Evoqua will produce superior returns for our customers, employees, and shareholders by providing best in class water solutions. We will strive to double the reach of our business over the next 5 years through served market and product expansion. In accordance with our values, we will operate with integrity while delivering on our promises as a trusted partner to our customers and our stakeholders.

OUR VISION

The world's first choice for water solutions.

OUR VALUES

Integrity: Do what's right

- We work safely
- We are honest and keep our word
- We lead by example and are good corporate citizens
- We respect our employees, business partners and environment

Customers: The foundation of our success

- We are responsive and reliable
- We provide high quality solutions
- We add value as a trusted partner

Performance: Deliver on promises

- We will be even better tomorrow than today
- We collaborate to win together
- We meet or exceed expectations

HOW WE DO IT ENVIRONMENT, HEALTH AND SAFETY

OUR PROMISE:

Evoqua will provide our solutions, products, and services in a safe, environmentally sound and socially responsible manner. We will conduct our operations in a manner that meets the needs of Evoqua and our stakeholders, while protecting and sustaining the human and natural resources that will be needed into the future. Evoqua considers Environment, Health and Safety (EHS), and Sustainability to be an organizational responsibility that is integral to our success.

- Providing safe and engaging work places for our employees, and protecting the safety of the communities in which we operate
- Achieving compliance, at a minimum, with applicable EHS legal requirements, and conforming to other requirements to which the company subscribes
- Protecting the environment by managing the usage of raw materials and energy while reducing waste, preventing pollution, and re-using and recycling materials and resources to the extent that is economically and technically feasible
- Improving EHS management system and performance by establishing meaningful objectives and targets
- Monitoring and evaluating EHS performance as it relates to applicable requirements and established objectives and targets
- Managing EHS risks and ensuring the integration of EHS considerations into business decisions
- Providing qualified and adequate resources to implement EHS best practices for our industry.
- Communicating our EHS and Sustainability activities and performance with integrity and transparency



ENVIRONMENT, HEALTH AND SAFETY WE ENABLE AND EMPOWER



ENABLED AND EMPOWERED

At Evoqua, we recognize that our employees are our most important resource. To protect this resource, we have implemented and maintained an effective EH&S Program targeted at building a work environment where each employee is *enabled* and *empowered* and embraces a personal accountability for protecting their safety and health, as well as that of their co-workers

Our EH&S processes are an integral part of every employee's job and a key criterion of our performance evaluation process.

Two primary components of our EHS Program are our own behavior-based process (our Loss Prevention System) and our internal EHS Management System.

ENVIRONMENT, HEALTH AND SAFETY OUR BLENDED APPROACH



LOSS PREVENTION SYSTEM AND EHS MANAGEMENT SYSTEM

Loss Prevention System (LPS)

The LPS is a system to prevent or reduce losses using behavior-based tools and proven management techniques. The objective is to eliminate undesirable behaviors which can lead to incidents. The program includes:

Pre-job task review

- Job Safety Analysis
- Observations
- Incident Investigations
- Stewardship

The use of the LPS tool has resulted in Evoqua maintaining a zero incident rate at a majority of our business locations in fiscal year 2018.

Environment, Health & Safety System

At Evoqua, the EHS Management System (SM) outlines our program elements of how we integrate EHS into the business. Our EHS Leadership and Stewardship Networks are embedded in our culture and corporate strategy. Basic elements of this program include:

- Leadership Commitment
- Organizational Roles & Responsibilities
- Planning
- Communication & Participation
- Governance & Oversight

ENVIRONMENT, HEALTH AND SAFETY OUR BLENDED APPROACH CONT.

Evoqua empowers all employees to work safely and prevent injuries. The primary tool our employees utilize to ensure safe working conditions is the Safe Performance Self Assessment (SPSA). All employees are trained to perform an SPSA which mandates that before beginning any activity, task or job each employee shall:

- Assess the Risk
- Analyze How to Reduce the Risk
- Act to Ensure Safe Operations

Every Evoqua employee has the right, authority and responsibility to stop any unsafe work without repercussion.

SAFE PERFORMANCE SELF ASSESSMENT
BEFORE BEGINNING ANY ACTIVITY/TASK/JOB:

ASSESS THE RISK:

- What can go wrong?
- What is the worst thing that could happen if something goes wrong?

ANALYZE HOW TO REDUCE THE RISK:

- Do I have all the necessary training and knowledge to do the job safely?
- Do I have all the proper Tools and Personal/Protective Equipment?

ACT TO ENSURE SAFE OPERATIONS:

- Take all the necessary Actions to ensure the job is done safely!
- Follow written procedures and ask for assistance if needed.

DO NOT PROCEED UNLESS YOU FEEL EVERYTHING IS SAFE!

STOP WORK AUTHORITY

Every Employee and Contractor of Evoqua Water Technologies has the right, obligation, authority and responsibility to stop any unsafe work without repercussion.

evoqua
WATER TECHNOLOGIES

Ron C. Kwong
Chief Executive Officer

IF YOU CONSIDER THE JOB UNSAFE, YOU MUST STOP AND SPEAK UP!



**GOAL
<0.20
2019 GLOBAL
LOST TIME
INJURY RATE**



ISO ACHIEVEMENTS

We have successfully achieved ISO certification for the Environmental Management Systems (14001-2015) at multiple locations globally and for the Occupational Health and Safety Assessment Series (OHSA 18001) at locations in Europe and Australia. These certifications demonstrate that adequate controls are in place to minimize defects (which decrease the generation of wastes), environmental impacts, and to prevent unsafe conditions in our workplaces. Our multiple ISO 14001-2015 certified facilities demonstrate our on-going commitment to sustainability by protecting our environment and reducing our carbon footprint.

ACTIONS

Evoqua has prevention-based tools which focus on the reporting of **Near Losses (NL's)** and a robust **employee observation program**. These processes are intended to get out in front of events before they occur. Evoqua's Leadership and Stewardship networks are responsible for cascading Leadership's **Vision for Safety** throughout the Evoqua Business Segments.

These groups meet routinely to discuss trends, issues, current topics and develop action plans relative to those items.

REDUCING OUR RISKS

Even with this level of activity, leadership and engagement, incidents will occasionally occur. When injuries or incidents occur, Evoqua uses tools to investigate and determine the causes of incidents.

Evoqua issues summaries for the outputs of incident investigations as **Lessons Learned** throughout the organization. The intent is to learn from past events to prevent recurrence.



「ENVIRONMENTAL STEWARDSHIP」



2018 LEADING BY EXAMPLE

Rockford , IL - Evoqua provides industrial customers services and products utilizing ion exchange resins to produce high-purity deionized process water. As part of this offering, we condition and regenerate these resins for recurring use. Through extensive testing and analysis, our Rockford facility implemented innovative ideas to recycle Sodium Hydroxide in our regeneration process. The result was a 25% reduction in Sodium Hydroxide usage, lower processing costs, and a reduction in the caustic discharge waste stream.



In 2018, the Chesterfield County Department of Utilities Industrial Waste Pretreatment Program awarded Evoqua Water Technologies a Platinum Award for having achieved excellence in environmental stewardship through compliance with local and federal standards related to industrial wastewater treatment located in the North Chesterfield, Virginia facility.

OUR SUSTAINABLE FUTURE



FLEET MANAGEMENT

Evoqua operates a fleet of over 1600 units, which includes 600 trailers utilized to deliver water and waste treatment solutions to our customers. We continually work to minimize our footprint through focusing on vehicles that deliver value to our business with a lower environmental impact.

Our customer service driven philosophy demands that we identify opportunities that increase efficiency without compromise. The expansion of GPS units into all commercial and non-commercial vehicles in 2018 is providing greater visibility that is assisting in fewer miles being driven.

As a result of updating our fleet vehicles, Evoqua purchased 20,000 fewer gallons of fuel this year than in the previous year. Evoqua will field test our first hybrid vehicles in 2019, delivering continued reduction in fuel consumption.

Evoqua's Fleet Management team continually evaluates sustainability improvements to provide the right balance between lessening our environmental impact while maintaining an effective and efficient fleet to service our customers.

CONSERVATION THROUGH SOLID WASTE AND ENERGY REDUCTION

Evoqua Water Technologies operates in more than 130 locations throughout North America.

WASTE REDUCTION INITIATIVES IMPLEMENTED

- Establish a national contract with Waste Management with a goal to increase diversion of landfill waste to reuse/recycle
- Implement formal recycling programs for cardboard, plastics, and other landfill materials with local municipalities and waste handlers
- Reduce corrugated box use for incoming materials
- Eliminate or recycle wooden pallets
- Design import crates to be re-used for finished product shipment
- Establish distribution program with key suppliers to utilize pallets and containers from other supply streams

**36% WATER
REDUCTION
FROM FY17***

**18% POWER
REDUCTION
FROM FY17***

*Data from the major manufacturing facilities: Thomasville, Union, Tewksbury, Holland, Colorado Springs

*Data is compiled using gallons per hour worked/Kwh per hours worked

CONSERVATION THROUGH METALS RECOVERY

Evoqua operates a centralized treatment and recovery facility in Roseville, MN that processes metal-bearing aqueous materials received from our customers. We work aggressively to recover and reclaim these metals. As shown in the table below, these efforts have resulted in the recovery of over 621 tons of metals including (but not limited to): Cadmium, Chromium, Copper, Lead, Magnesium, Nickel and Zinc.

**621 TONS
RECOVERED IN
THE LAST 6 YEARS**

**THE STATUE
OF LIBERTY
MONUMENT
NEARLY
X3***



Fiscal Year	Cadmium	Chromium	Copper	Lead	Magnesium	Nickel	Zinc	Total Pounds
2013	260	32,451	51,849	473	2,491	26,921	94,588	209,033
2014	204	43,631	53,190	387	4,747	23,296	78,496	203,951
2015	420	44,690	65,387	382	2,446	27,430	75,202	215,956
2016	459	44,722	64,952	395	1,374	21,611	72,051	205,562
2017	406	42,442	65,228	335	1,219	23,341	70,130	203,101
2018	364	41,327	63,088	304	1,094	4,584	73,973	204,734

*Statue of Liberty weighs 225 tons as described by The Statue of Liberty - Ellis Island Foundation, Inc.

CONSERVATION THROUGH CARBON REACTIVATION

In addition to these significant waste minimization and resource reduction benefits, the use of reactivated carbon provides substantial benefits toward battling climate change and reducing air pollution emissions. An independent study conducted by the University of California Berkeley estimated that the reactivation of carbon results in substantial reduction of pollution emissions as compared to the production of virgin carbon.

Using the methodologies established in the Berkeley study, carbon reactivation from Evoqua fiscal year 2015 through 2018 has resulted in the elimination of over 514,000 tons of carbon dioxide emissions, as well as 870 tons of Nitrogen Dioxide, 2,206 tons of Sulfur Dioxide, 48 tons of Particulate Matter and 78 tons of hazardous air pollutants.



514,000
TONS OF
CARBON DIOXIDE
EMISSIONS

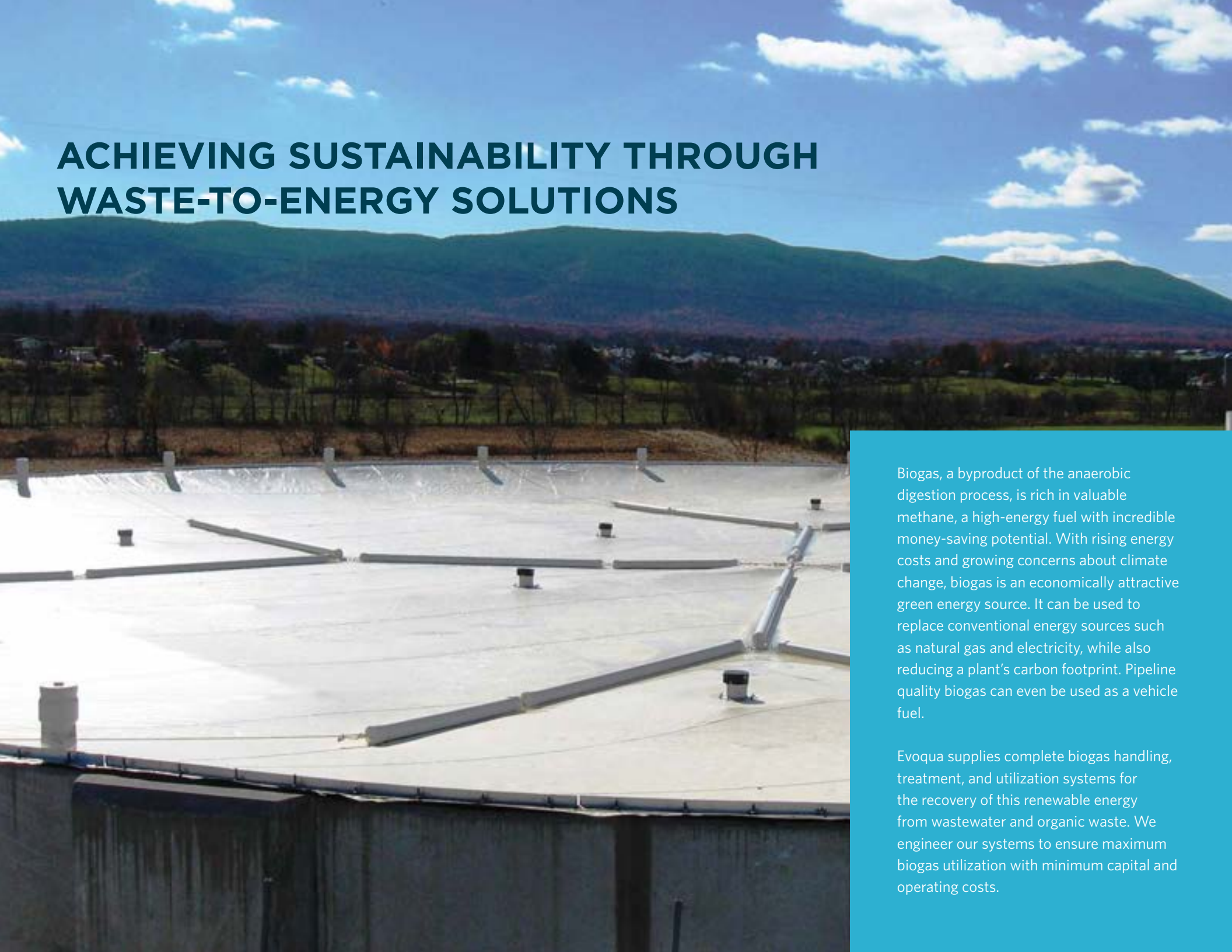
2,206
TONS OF SULFUR
DIOXIDE
EMISSIONS

870
TONS OF
NITROGEN DIOXIDE
EMISSIONS

78
TONS OF
HAZARDOUS
AIR POLLUTANTS

48
TONS OF
PARTICULATE
MATTER

ACHIEVING SUSTAINABILITY THROUGH WASTE-TO-ENERGY SOLUTIONS



Biogas, a byproduct of the anaerobic digestion process, is rich in valuable methane, a high-energy fuel with incredible money-saving potential. With rising energy costs and growing concerns about climate change, biogas is an economically attractive green energy source. It can be used to replace conventional energy sources such as natural gas and electricity, while also reducing a plant's carbon footprint. Pipeline quality biogas can even be used as a vehicle fuel.

Evoqua supplies complete biogas handling, treatment, and utilization systems for the recovery of this renewable energy from wastewater and organic waste. We engineer our systems to ensure maximum biogas utilization with minimum capital and operating costs.

ACHIEVING SUSTAINABILITY THROUGH RESOURCES RECOVERY

On February 15-16, Orange County Water District (OCWD) and Orange County Sanitation District put our membranes to the test as they set a new GUINNESS WORLD RECORDS® Title for the most wastewater recycled to drinking water in 24 hours. The title was achieved by the Groundwater Replenishment System (GWRS), which is the world's largest water purification facility of its kind.

Evoqua's membranes are just one of the important pieces of equipment in the wastewater treatment train used in the GWRS at OCWD; a system that has set the standard for indirect potable reuse. Not only are we excited to support OCWD to achieve this challenge, we've been a proud supplier of membranes to OCWD for 15 years. Our long-standing relationship, in the realm of water recycling, is a testament to Evoqua's commitment to innovation and being recognized as a leader in membrane technology.



Today, approximately 125 million gallons of water are flowing through Evoqua membranes every day, enabling a reliable supply of water to more than 2.4 million people.

A background image showing a close-up of water splashing, with droplets and bubbles in shades of blue and white. The water is in motion, creating a dynamic and textured appearance.

SUSTAINABILITY IS A COLLABORATIVE EFFORT

Evoqua services over 200,000 installations across the globe and services 90% of the Fortune 500 companies. For this reason, we understand the profound impact we have in providing solutions that ensure our customers and partners meet or exceed their sustainability goals. It is our promise and our responsibility.

CINTAS CORPORATION SAVES UP TO 599M GALLONS OF WATER PER YEAR

Cintas saves up to 599* million gallons of water per year through its company-wide system. Of note, Cintas Industrial Laundry is capable of delivering significant improvements in water use compared to home laundry by providing approximately 20 percent water savings – in addition to significant energy and soap savings. Cintas has also demonstrated leadership in sustainability by taking steps to lower water use and minimize environmental impact at several facilities through enhanced wastewater treatment, as well as enhanced water reuse efforts.

For example, at its recently upgraded Newmarket, Ontario facility, Cintas has saved more than two million gallons of water in the first three months of operation through a new reuse system. Other Cintas facilities are taking significant steps to lessen water impact across operations utilizing water reuse with dissolved air flotation systems and, in some cases, reuse coupled with ultrafiltration and microfiltration systems.

*calculations supplied by Cintas Corporation



**「CORPORATE
GOVERNANCE」**

CORPORATE GOVERNANCE PROGRAMS

ETHICS AND COMPLIANCE PROGRAM

Evoqua is committed to doing business the right way. We're continuously striving to earn and maintain the trust of our customers and the communities where we work and live.

We meet that commitment through our ethics and compliance program. The program identifies key risks in our organization, develops standards and processes to address risk, and then brings those standards and processes to life in our daily work.

Our ethics and compliance platform weaves the basic elements of an effective program through each initiative. We recognize an effective compliance program identifies and prevents misconduct, encourages employees to report potential problems, and develops procedures that allow the prompt, thorough investigation of alleged misconduct.



CORPORATE GOVERNANCE PROGRAMS

The Evoqua Ethics and Compliance Program addresses the following elements:

STANDARDS OF CONDUCT

Our best in class Code of Ethics and Business Conduct firmly establishes our commitment to “Do what is right.”

GOVERNANCE AND OVERSIGHT

An ethics and compliance program is established each fiscal year. The organization’s ethics and compliance function reports up through the Company’s Legal Department and has the support of Internal Audit. The function provides regular reporting to executive management on key compliance programs.

TRAINING AND COMMUNICATION

Compliance programs include customized training issued organization wide. Communication plans are tailored to applicable audiences and employees where English is a second language are given due consideration through translated policies, forms, and training.

MONITORING AND AUDITING

Implemented compliance programs include key preventative and detective controls. Ethics and Compliance partners with Internal Audit (IA), as well as external experts to perform compliance reviews.

REPORTING AND INVESTIGATING

The organization encourages employees who become aware of a violation or a potential violation to report the issue through a variety of means: Management, Human Resources, or the organization’s Compliance Helpline. Employees can also submit questions or concerns to the Compliance Helpline either via phone or the web. The Helpline is staffed by a third-party and is available 24/7/365. Reports to the Helpline can be made anonymously. Evoqua pledges to investigate and react to every report.

RESPONSE, PREVENTION, ENFORCEMENT, AND DISCIPLINE

Evoqua’s Ethics and Compliance programs are designed to respond appropriately to misconduct and to prevent further similar misconduct, including making any necessary modifications to the current program and taking corrective action.

CORPORATE GOVERNANCE PROGRAMS

TAKING A STAND AGAINST BRIBERY AND CORRUPTION

We are committed to maintaining a best in class Anti-Corruption Program. We strive to make continuous improvements to our program to ensure we have effective preventative and detective controls in place to address misconduct.

Evoqua's Anti-Corruption program is focused on preventing incidents and detecting risk through the following activities:

- An Anti-Corruption Policy for both employees and indirect sales channels
- A continuously improved indirect sales channel due diligence program, which includes third-party training and Code of Conduct sign-off
- Customized Anti-Corruption training provided to the global organization
- Thorough gifts and entertainment reporting, tracking, and monitoring
- An Employee Concern policy that reiterates the Company's commitment against retaliation (e.g. "whistle-blower" protections)
- A best in class Code of Ethics and Business Conduct with enhanced bribery, corruption, and anti-retaliation policies

Policies and forms were translated for our global workforce.

The Company strictly prohibits bribery in any form and at any amount or value. The organization fully supports any employee, officer, director, or third party acting on the Company's behalf who declines an opportunity or advantage in a business transaction if engaging or participating in the transaction would place the Company at legal or reputation risk.



「SOCIAL
FACTORS」

HUMAN RIGHTS ASSURANCE

At Evoqua, we treat each other with respect and courtesy. Consistent with our corporate principles and with the employment laws of the countries in which we work, we do not tolerate discrimination, intimidation, harassment, bullying, retaliation, or indecent conduct of any kind. These principles apply to both internal cooperation and conduct towards external partners.

EMPLOYEES, PARTNERS AND SUPPLIERS OF EVOQUA DECLARE

Respect for basic human rights:

- To promote equal opportunities for and treatment of employees irrespective of race, color, religion (creed), sex, national origin, age, disability, genetic information, gender identity or expression, sexual orientation or veteran status
- To respect the personal dignity and rights of each person allowing for a safe, comfortable, and professional work environment
- To refuse to employ or make anyone work against his or her will

- To refuse to tolerate unacceptable treatment of a person that unreasonably interferes with operational objectives
- To prohibit behavior including gestures, language, and physical contact, that is sexual, coercive, threatening, abusive, or exploitative
- To provide fair remuneration and to guarantee the applicable national statutory minimum wage
- To comply with the maximum number of working hours according to applicable law
- To recognize the right of free association of employees and to neither favor nor discriminate against members of employee organizations or trade unions

Prohibition of child labor:

- To not employ child or forced labor in any of our global facilities as defined by International Labour Organization (ILO) Convention 138

SUPPLY CHAIN PROTOCOL

Evoqua expects its suppliers to share our values. Furthermore, we require suppliers to act in accordance with the following principles concerning responsibilities to its stakeholders and the environment:

- Comply with all applicable laws
- Prohibit corruption
- Respect basic human rights of employees
- Comply with laws prohibiting child labor
- Take responsibility for the health and safety of employees
- Act in accordance with applicable statutory and international standards regarding environmental protection
- Further promote this Supplier Code of Conduct to their own suppliers

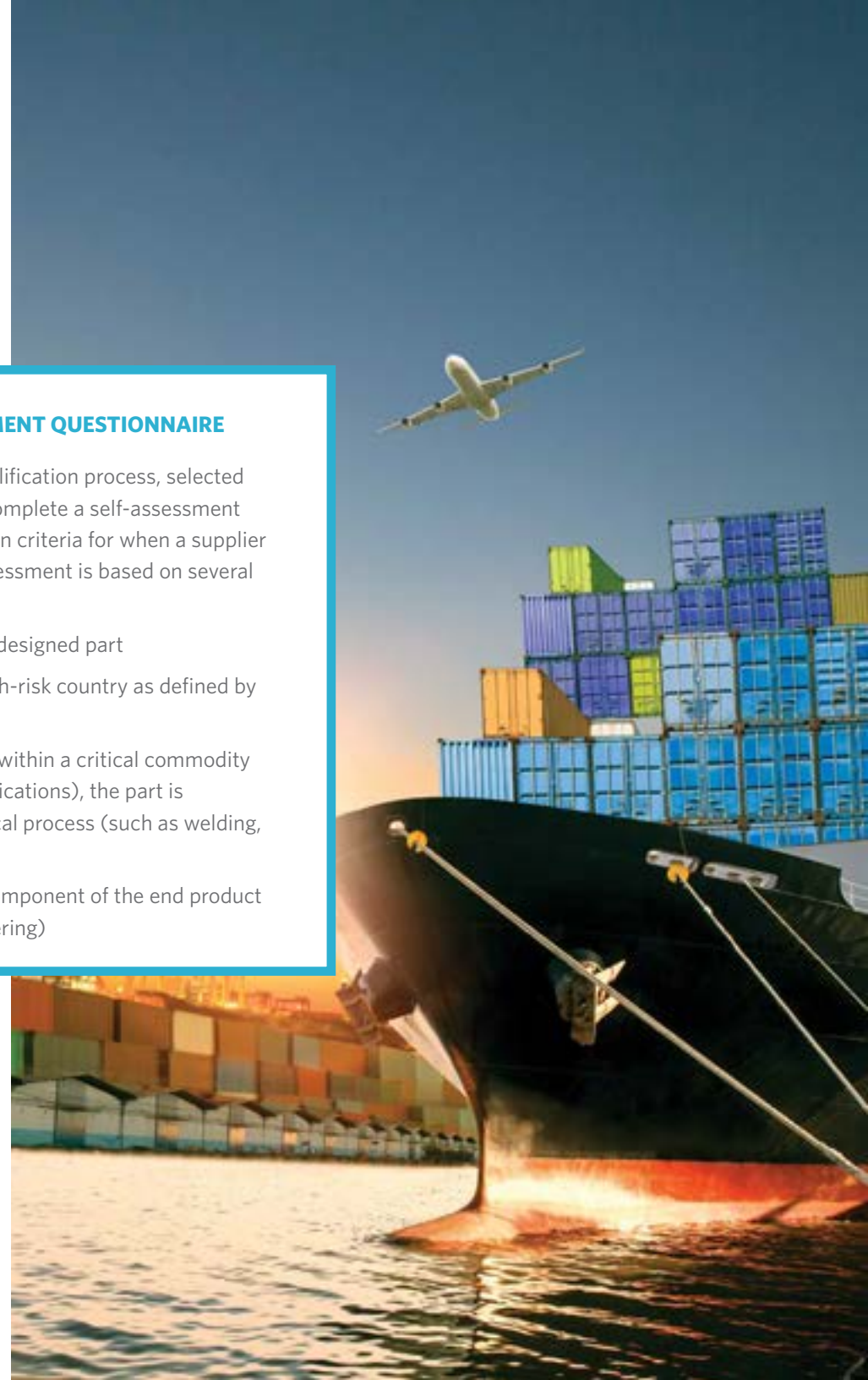
The Supplier Code of Conduct is required for all new suppliers to sign and return to Evoqua.

*The high-risk designation is based on the Corruption Perceptions Index (CPI) score which is published annually by Transparency International

SUPPLIER SELF-ASSESSMENT QUESTIONNAIRE

As part of our supplier qualification process, selected suppliers are required to complete a self-assessment questionnaire. The selection criteria for when a supplier is to complete the self-assessment is based on several factors such as:

- The part is an Evoqua designed part
- The supplier is in a high-risk country as defined by TI/CPI* guidelines
- The purchased part is within a critical commodity (such as castings, fabrications), the part is produced using a critical process (such as welding, galvanizing)
- The part is a critical component of the end product (as defined by Engineering)



SUPPLY CHAIN PROTOCOL

SUPPLY CHAIN RISK ASSESSMENT

Evoqua employs an established Supply Chain Risk Assessment process to identify supplier spend in high-risk countries. The Risk Assessment process utilizes Evoqua supplier spend and location data from the current year and correlates the data against country risk rankings from Transparency International and the World Bank.

Risk factors included are:

- Voice and Accountability: the extent to which a country's citizens are able to participate in government selection, freedom of expression, freedom of association, and free media*
- Political Stability and Absence of Violence: the likelihood of political instability and/or politically-motivated violence, including terrorism*
- Government Effectiveness: the quality of public services, civil service, policy formulation and implementation*
- Regulatory Quality: the ability of the government to formulate and implement sound policies and regulations that permit and promote private sector development*
- Rule of Law: the extent to which agents have confidence in and abide by the rules of society, and in particular the quality of contract enforcement, property rights, the police, and the courts, as well as the likelihood of crime and violence*

- Control of Corruption: the extent to which public power is exercised for private gain, including both petty and grand forms of corruption, as well as "capture" of the state by elites and private interests*

The results of the first assessment will inform the creation of additional supply chain controls to complement the existing supplier due diligence process. This newly established Risk Assessment process supplements our existing programs for Modern Slavery Act and California Transparency in Supply Chains Act compliance. The Risk Assessment process also provides senior leadership with greater insight into the organization's supply chain.

*World Bank Governance Indicators

SUPPLY CHAIN PROTOCOL

A photograph of two men in a warehouse. The man on the left is wearing a dark suit jacket, a light blue shirt, and blue jeans, and is holding a tablet. The man on the right is wearing a blue work shirt, blue pants, and a yellow hard hat, and is also holding a tablet. They are both looking at their tablets. The background shows warehouse shelves with boxes and a yellow pallet jack.

SUPPLIER CODE OF CONDUCT

In order to assure that we engage only suppliers who follow fundamentally compliant and ethical business practices, and who share our commitment to these principles, we have implemented a two-phased process in our Supply Chain Protocol. This includes an initial assessment that each Supplier must complete and submit (our Supplier Questionnaire) which is evaluated to determine if we will use their products, followed by a second assurance phase (our Compliance Requirement Auditing processes) which involves conducting actual on site audits at the Supplier's facilities to evaluate how well Supplier Questionnaire responses align with their actual practices and operations. Suppliers must undergo an audit on a periodic basis of at least once every 3 years (or more frequently if an Evoqua employee suspects or discovers a violation of our Supplier Code of Conduct).

*Supplier provided Code of Conduct included the same information as Evoqua Supplier Code of Conduct.

SUPPLY CHAIN PROTOCOL

CORPORATE CITIZENSHIP

Evoqua continues our commitment to developing internal processes that align with both the California Transparency in Supply Chain Act and the SEC's requirements on Conflict Minerals to mitigate the risk of slavery and human trafficking in our supply chain.

In 2010, Congress enacted the Conflict Minerals provisions ("Section 1502") of the Dodd-Frank Wall Street Reform and Consumer Protection Act. Section 1502 requires public companies to disclose annually to the Securities and Exchange Commission ("SEC") their due diligence design and process utilized to determine whether certain minerals used in applicable products originated from conflict mines located in the Democratic Republic of the Congo ("DRC") or other adjoining countries.

The minerals identified as contributing to human rights abuses in the DRC and adjoining countries by funding armed groups are gold, columbite- tantalite (coltan), cassiterite and wolframite, and their derivatives (i.e. tantalum, tin and tungsten).

Evoqua relies on information obtained downstream from key suppliers to provide conflict mineral information to customers.

We require suppliers to contractually commit to our "Code of Conduct for Evoqua Suppliers", and we expect that these standards will be promoted down the supply chain to any lower- tier contractors or suppliers.

Evoqua requires that suppliers respond in a timely and accurate manner to surveys and other related requests regarding conflict mineral usage for products and services necessary to the functionality or production of the product. Full transparency and thorough supply chain due diligence is required to identify mineral smelters (when applicable) as part of doing business with our Company.

Suppliers are encouraged to utilize certified conflict free smelters (CFS) and refiners for the production of Evoqua products and services.

In addition, suppliers must certify that they are in compliance with the laws in the countries in which they operate. Suppliers who are in violation of our Supplier Code of Conduct and local law are subject to corrective action plans or termination.



Transforming Water. Enriching Life.

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